

18 NCAC 06A .2048 ADMINISTRATION OF PLATFORM COMMUNICATION CHANNELS

(a) An issuer, funding portal or registered dealer administering a platform shall:

- (1) establish and enforce guidelines for communication using the communication channels; and
- (2) remove abusive or potentially fraudulent communications.

(b) A funding portal shall only participate in the communication channels on its platform consistent with Rule .2049 of this Section.

History Note: *Authority* G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a);
Eff. April 1, 2017.